

# Effects of Interplanetary Shock Inclinations on Nightside Auroral Power Intensity

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**Abstract** We derive fast forward interplanetary (IP) shock speeds and impact angles to study the geoeffectiveness of 461 IP shocks that occurred from January 1995 to December 2013 using ACE and Wind spacecraft data. The geomagnetic activity is inferred from the SuperMAG project data. SuperMAG is a large chain which employs more than 300 ground stations to compute enhanced versions of the traditional geomagnetic indices. The SuperMAG auroral electrojet SME index, an enhanced version of the traditional AE index, is used as an auroral power (AP) indicator. AP intensity jumps triggered by shock impacts are correlated with both shock speed and impact angle. It is found that high AP intensity events typically occur when high speed IP shocks impact the Earth's magnetosphere with the shock normal almost parallel to the Sun-Earth line. This result suggests that symmetric and strong magnetospheric compression leads to favorable conditions for intense auroral power release, as shown previously by simulations and observations. Some potential mechanisms will be discussed.

**Keywords** Space physics · Ionosphere-magnetosphere interaction · Plasma physics

## 1 Introduction

Interplanetary (IP) shocks result from the interaction of solar disturbances with the ambient solar wind [37]. As they propagate throughout the heliosphere, IP shocks eventually interact with different bodies in the solar system, such as planets, moons, and even asteroids. In the eventual cases in which IP shocks strike the Earth, they interact with the Earth's magnetosphere, causing disturbances that can be detected in the near-Earth space environment, the whole magnetosphere, and even the ionosphere. The first dramatic magnetospheric effect associated with IP shock impacts is the storm sudden commencement/sudden impulse (SSC/SI<sup>+</sup>), resulting from the sudden magnetospheric/magnetotail compression and the Earthward motion of the Chapman-Ferraro current. Other effects following shock-related SSC/SI<sup>+</sup> events may also occur: geomagnetic storms [13], radiation belt perturbations [16, 54], and ground-induced currents (GICs). GICs may pose risks to electric power transmission systems leading to power grid disruptions and serious economic losses [4, 10, 17, 43]. GICs are also associated with corrosion of pipelines and their control systems [14]. IP shocks are well known to sometimes trigger substorms as well [3, 6, 9, 18–20, 23–25, 42, 51–53]. In the early days before shock detection in interplanetary space, SSC/SI<sup>+</sup>s were used to imply the impingement of an IP shock or tangential discontinuity (TD) onto the magnetosphere as an attempt to explain geomagnetic activity following SSC/SI<sup>+</sup> events. As shown later by Kokubun et al. [19], who statistically examined SSC/SI<sup>+</sup> events, intense auroral activity always occurred when SSC/SI<sup>+</sup> amplitudes were greater than 40 nT. Smith et al. [45] later showed that most of SSC/SI<sup>+</sup>s

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were caused by IP shocks rather than TDs. Precursor IMF  $B_z$  events  $\sim 1.5$  h prior to shock arrival have been used to identify when shocks would be geoeffective and when they would not be [6, 9, 21, 48, 51–53].

Another important factor of IP shock geoeffectiveness is the IP shock impact angle, which is the angle between the shock normal vector and the Sun–Earth line. IP shocks with shock normals almost aligned with the Sun–Earth line are typically driven by coronal mass ejections (CMEs) [37] whereas inclined shocks tend to be driven by corotating interaction regions (CIRs) more frequently [35, 44]. Several studies addressing geomagnetic activity following inclined IP shocks have been done in the past. For example, a longer than usual SSC rise time caused by the impact of an IP shock with the Earth’s magnetosphere was observed by the Wind spacecraft [46]. These authors argued that this effect should be related to the high inclination of the shock normal in the equatorial plane. Due to its high impact angle, the IP shock took a longer time to sweep over the magnetosphere, compressing it gradually leading to a slow magnetospheric response. The authors suggested that simulation studies with the impact of inclined IP shocks on the Earth’s magnetosphere should be carried out. This suggestion was taken by other authors [15] who simulated the impact of two similar IP shocks, one inclined and the other frontal, on the Earth’s magnetosphere. They found that both systems evolved to very similar final quasi-steady states, although in the inclined case, the system took more time to evolve in relation to the head-on case. Very similar results were found with MHD numerical simulations by other studies as well [39, 41, 49]. A statistical study with more than 300 fast forward IP shocks was performed [50] to study the same effects, i.e., the effect of IP shock inclinations on the SSC rise time followed by IP shock impacts. They found that IP shocks impacting the Earth’s magnetosphere with shock normals almost aligned with the Sun–Earth line caused short SSC rise times when compared to inclined IP shock events. Their highest correlation occurred when the shocks were strong and almost head-on. These simulation and statistical results confirmed the observation and suggestion made previously by observations [46] and numerical simulations as well [15, 39, 41, 49].

Impact of IP shocks on the Earth’s magnetosphere was investigated in other simulation studies [38, 40] with an MHD code especially developed to study effects generated on the magnetosheath [38]. In this case, the shock impact was frontal and the magnetospheric response was symmetric. Later, using the same MHD code, one of the previous authors simulated a case of the interaction of a similar IP shock, but with an inclined shock normal, with the Earth’s magnetosphere. He found that the inclined shock took more time to travel through the magnetosheath in comparison to his previous studies. This author argued that inclined shocks with large downstream  $v_y$  component may generate asymmetries on both

dawn and dusk sides leading to non-symmetric magnetospheric compressions. Such compressions can lead to different SSC amplitudes depending upon the side of impact. He then suggested that such effects should be detectable by magnetometers on the ground.

More recently, the impact of IP shocks with different shock normal inclinations on the Earth’s magnetosphere were simulated [32]. Using the OpenGGCM MHD code [36], these authors simulated three different cases, namely, two inclined and one frontal. The second inclined shock was twice as stronger as the other inclined shock, and the frontal shock had the same strength as the first inclined shock. The shock normals of the two inclined shocks lay in the meridian plane. The same authors reported that the head-on shock was more geoeffective than the inclined shocks, even more geoeffective than the strong inclined shock. For example, the frontal shock triggered substorm signatures and high nightside auroral energy dissipations not seen in the inclined cases. They suggested that the frontal shock, whose shock normal was aligned with the Sun–Earth line, compressed the magnetotail symmetrically on both north and south sides. Such condition created an ideal scenario for the energy stored in the magnetotail to be leaked away and trigger auroral substorms at Earth. These results suggested the same authors to look for these effects in satellite and geomagnetic data.

The sequence of this simulation work was conducted by the same authors [33], who performed a statistical study of IP shock properties at 1 AU using a shock list with events from January 1995 to December 2013. They found that the yearly number of IP shocks is well correlated with solar activity, confirming previous observations [8, 30]. Although shocks occur more frequently during solar maxima due to the higher occurrence of CMEs, CIRs tend to drive most shocks in solar minima. Due to the fact that CMEs tend to drive frontal shocks and CIRs tend to drive more inclined shocks, more frontal events tend to occur during solar maxima. They also reported that the majority of shocks found in the heliosphere at 1 AU are weak shocks, with Mach numbers less than 3. In the same statistical study, they studied the effects of IP shock impact angles on substorm strength indicated by an enhanced version of the AL index. They found that almost frontal shocks were generally more geoeffective than inclined shocks. Their strongest correlation was found in the case when shocks with high speed, or strong shocks, impacted the Earth almost frontally.

The goal of this paper is to study geomagnetic activity triggered by IP shocks in correlation to IP shock speeds and impact angles. Here, the geomagnetic activity is represented by auroral power (AP) intensity as inferred from an enhanced version of the auroral electrojet index AE. Correlations are obtained from shock speed and impact angles using the same IP shock list published by a shock statistical study [33], the most extensive fast forward shock study done to date. In the following, in Section 2, we present the data. In Section 3, we report our results, which are summarize and briefly discussed in Section 4.

## 2 Data Analyses

Our data analysis is based on a list of 461 fast forward IP shocks from Wind and ACE data from January 1995 up to December 2013 published by a recent statistical study [33]. The shock normal orientations were obtained from different shock normal determination methods, such as the well-known magnetic and velocity coplanarity methods [5] and the formulas that mix plasma and IMF data [1, 2]. All shocks were required to satisfy the Rankine-Hugoniot conditions [31, 47].

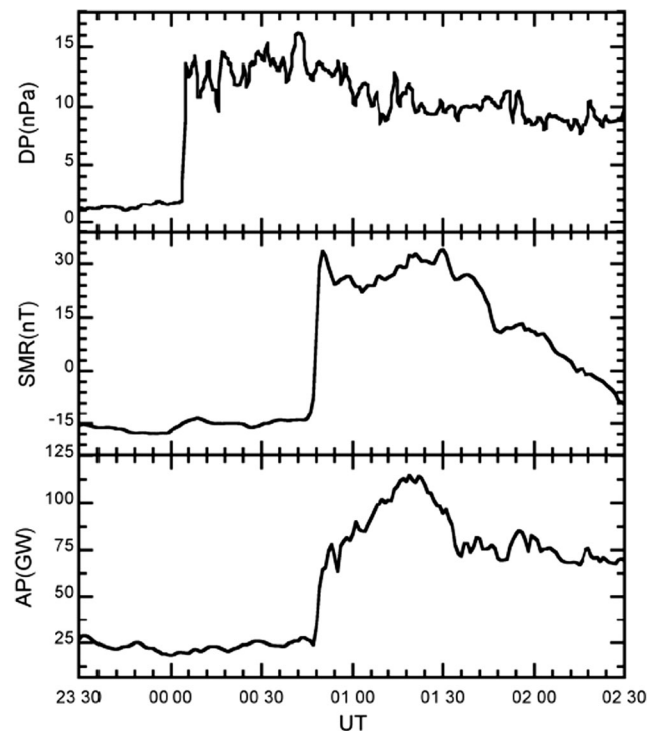
We use the SuperMAG geomagnetic station data to identify auroral power associated with shock impingement. SuperMAG [11] is an international collaboration with a chain of more than 300 ground stations used to compute the SME, SMU, and SML indices [27, 28], the enhanced versions of AE, AU, and AL [7], respectively. The SuperMAG indices are very similar to the traditional IAGA indices: their main difference is the fact that the former are computed based on data of a larger number of ground stations in comparison to the latter. The SuperMAG data were obtained from the websites <http://supermag.jhuapl.edu/> and <http://supermag.uib.no/>. Technical issues related to SuperMAG data analysis and assimilation were detailed in a paper entirely devoted to this subject [12].

The SME index is used as a proxy for aurora power (AP) determinations. This choice was based on a relation found by other authors [28]. These authors calibrated the SME index with both Polar UVI instantaneous images and DMSP instantaneous maps to obtain possible correlations between SME and AP. Due to time resolutions issues, the most relevant correlation found by them was between SME and AP as determined by Polar UVI. The linear relationship found in this previous work and used here is:

$$AP = 0.048 \times SME + 0.241 \times (SME)^{1/2}, \quad (1)$$

where AP is represented in GW, and the square root portion comes from the monoenergetic auroral contribution. In Eq. (1), AP was integrated over the northern hemisphere polar cap between 1800 and 0600 magnetic local time and 60° and 80° magnetic latitude. More specifically, expression (1) indicates the nightside AP intensity as calculated from the SuperMAG SME index. Later, the SME index was confirmed to be the best choice to predict AP intensity instead of SMU and SML [29].

The methodology used to record geomagnetic activity followed by IP shock impacts is shown in Fig. 1. This figure represents an IP shock from the shock list compiled by a previous statistical study [33]. At 0005 UT on 18 April 2001, ACE observed a sharp jump in the dynamic pressure  $DP = \rho v^2$  upstream of the Earth. After approximately 50 min, the IP shock impinged on the Earth's magnetopause, and a sharp jump in SMR, the SuperMAG measurement associated with the ring current [26], was recorded by SuperMAG

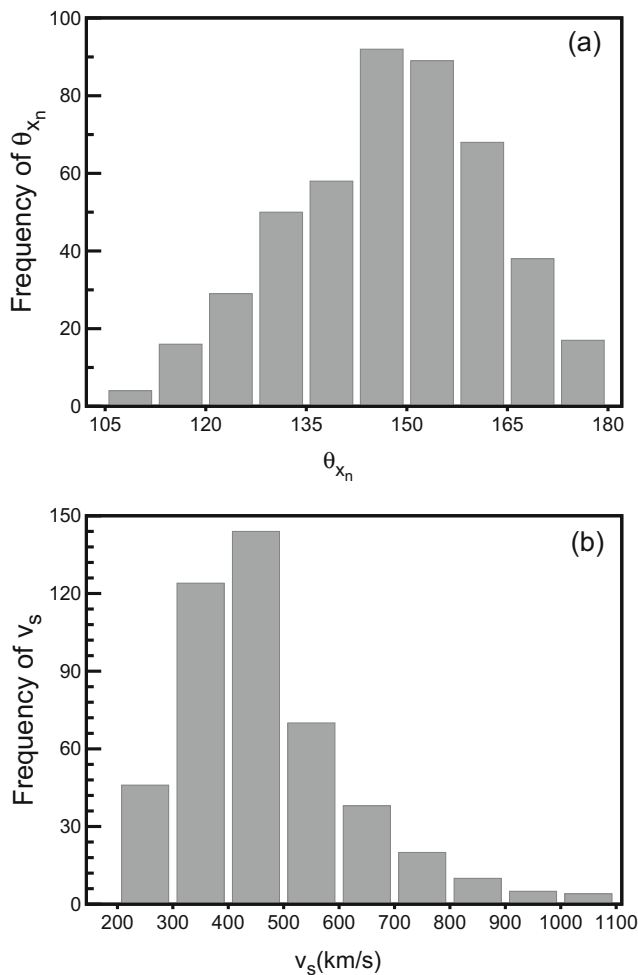


**Fig. 1** ACE observation of an interplanetary shock on 18 April 2001 at 0005 UT and its consequent geomagnetic activity. *Top panel* shows increase in dynamic pressure  $p v^2$  (DP, in nPa) observed by ACE. Nearly 50 min later, as shown in the *middle panel*, the Earth's magnetopause is struck by the IP shock, as indicated by SuperMAG ground stations with the sharp increase in the SMR index, in nT. Finally, the *bottom panel* shows the increase in AP (GW) approximately 35 min after shock impact

ground stations. Then, in the next 40 min, the SuperMAG ground stations registered a peak in the SME index, which was used to plot AP in the last panel of Fig. 1 according to Eq. (1). For all events in our statistical analysis, the maximum measurements in AP followed by IP shock impacts were recorded in the time lag of 2 h after shock impacts. If there are more than one AP peak in this time interval, the first one is chosen as the maximum associated with the IP shock. More details can be found in a previous work [33].

## 3 Results

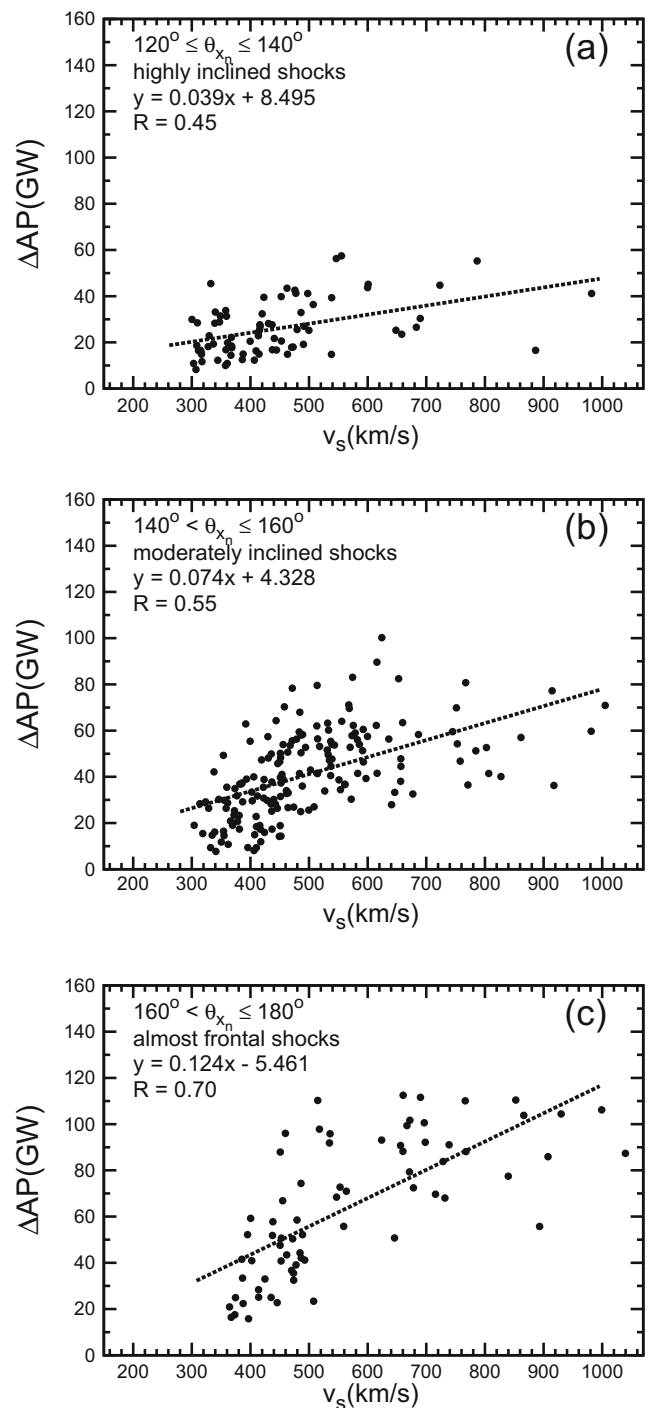
Figure 2 represents the statistical results obtained from our 461 IP shock events. Figure 2a shows the distribution of  $\theta_{xn}$ , the shock impact angle between the shock normal, and the GSE Sun-Earth line. Angles close to 180° indicate that the shock normal vector is almost parallel to the Sun-Earth line. Most shocks had  $\theta_{xn}$ , indicating that they typically range from moderately inclined to almost frontal shocks. Figure 2b represents the distribution of shock speed  $v_s$  in our shock list. The average shock speed is about 500 km/s in the Earth's frame of reference, and most shocks have  $v_s$  below the average. This



**Fig. 2** Statistical results of fast forward IP shocks observed by Wind and ACE from January 1995 to December 2013. Figure 1a shows the shock impact angle distribution, and Fig. 1b represents shock speed (km/s) distribution

result indicates that the IP shocks observed in the heliosphere at 1 AU are predominantly weak IP shocks with Mach numbers between 1 and 3. More details about the statistical results of the IP shocks in our database can be found in a previous paper [33].

Correlations of variations in auroral power,  $\Delta AP$ , in GW, with the shock speed  $v_s$ , in km/s, is shown in Fig. 3. For this parameter selection, the impact angle  $\theta_{xn}$  is held in constant intervals while the shock speed is allowed to vary. The data are binned in three different categories: Fig. 3a,  $120^\circ \leq \theta_{xn} \leq 140^\circ$ , highly inclined shocks; 2(b),  $140^\circ < \theta_{xn} \leq 160^\circ$ , moderately inclined shocks; and 2(c),  $160^\circ < \theta_{xn} \leq 180^\circ$ , almost frontal shocks. Here, we consider events with low auroral activity when  $\Delta AP < 20$  GW and events with high auroral activity when  $\Delta AP \geq 80$  GW. Events with moderate auroral activity are between these two limits. Figure 3a shows that most highly inclined shock events with low auroral activity are associated with weak, low speed ( $v_s < 450$  km/s) shocks. Strong, high speed ( $v_s > 550$  km/s) shocks are related to events with



**Fig. 3** Auroral power amplitude as a function of shock speed binned in three different shock impact angle categories: a  $120^\circ \leq \theta_{xn} \leq 140^\circ$ , highly inclined shocks; b  $140^\circ < \theta_{xn} \leq 160^\circ$ , moderately inclined shocks; and c  $160^\circ < \theta_{xn} \leq 180^\circ$ , almost frontal shocks

moderate auroral activity, with only one event that has low auroral activity being caused by a strong shock. Events with moderate auroral activity are associated with all shock strength categories with approximately the same likelihood. There are no events with high auroral activity triggered by highly inclined shocks in our database. The correlation coefficient in this case is  $R=0.45$ , and the average of AP is 25.98 GW.

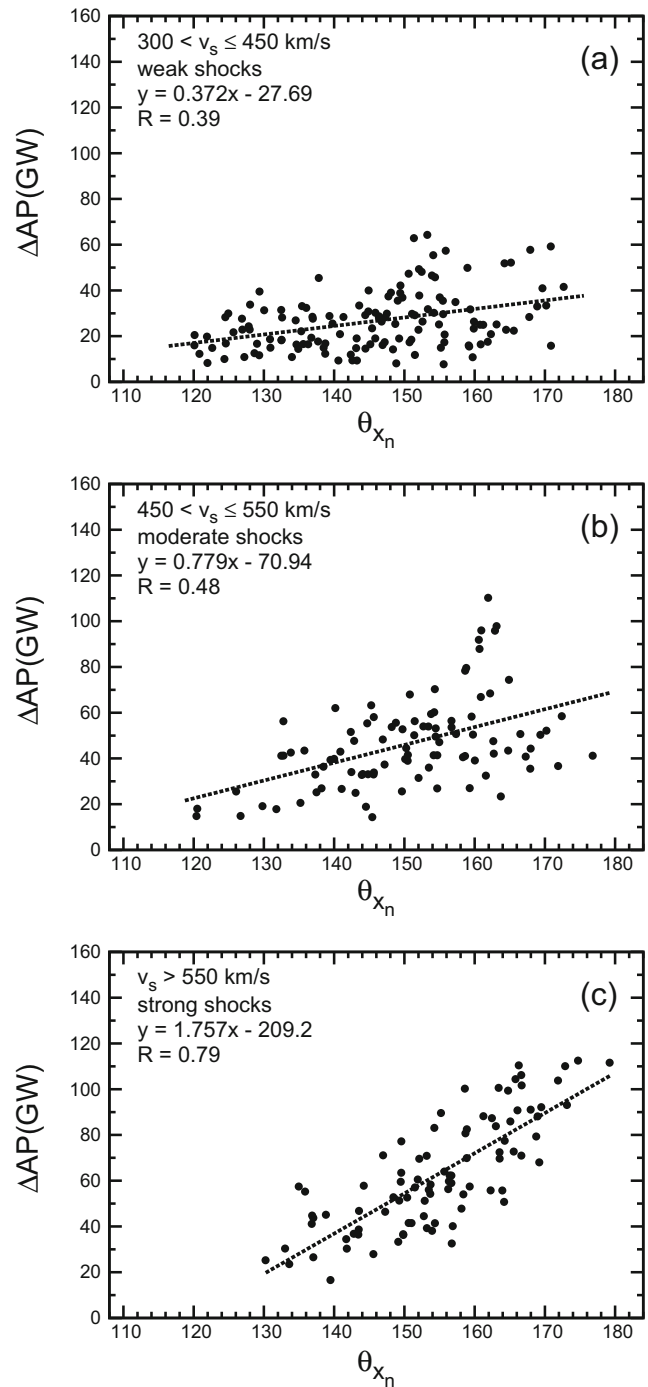
The intermediate category of shock strength has the largest number of events, as seen in Fig. 3b. In this case, all events with low auroral activity are triggered by weak or low speed shocks. Most events with moderate activity are associated with weak or moderate shocks. All events with high auroral activity are triggered by high speed shocks. The correlation coefficient is  $R=0.55$  and  $AP=41.37$  GW. Figure 3c shows that all weak auroral activity events (only three cases) are related to weak shocks. Events with moderate auroral activity are mostly associated with weak or moderate shocks, but some are related to strong shocks. All events with intense auroral activity are triggered by either moderate or strong shocks. The correlation coefficient  $R=0.70$  and the average  $AP=64.09$  GW are the highest in this category. These results are summarized in Table 1.

A comparison among the three cases described above shows that, on average,  $\Delta AP$  increases with shock speed when the impact angle is close to  $180^\circ$ . The correlation coefficient between the shock speed and  $\Delta AP$  also increases for almost frontal shocks.

The opposite analysis is made in Fig. 4, i.e., where the shock impact angles are allowed to vary keeping the shock speed binned in constant intervals. The three categories are as follows: Fig. 4a,  $300 \leq v_s \leq 450$  km/s, weak shocks;  $450 < v_s \leq 550$  km/s, moderate shocks; and  $v_s > 550$  km/s, strong shocks. Figure 4a shows that weak shocks are associated with events with either weak or moderate auroral activity and are not related to events with intense auroral activity. There are only a few weak highly inclined shocks, and most of them cause events with moderate auroral activity. Only a few strong highly inclined shocks cause events with low auroral activity. The correlation coefficient for highly inclined shocks,  $R=0.39$ , and the average of 26.55 GW, are the lowest in this case. In the category of moderate shocks, the correlation is stronger, with  $R=0.48$ , and the average is higher, with  $AP=46.56$  GW. There are only a few events with low auroral activity, and most of them are triggered by highly inclined shocks and just a few by inclined shocks. Moderate almost frontal shocks, seen in Fig. 4b, triggered either moderate or strong auroral activity events. Typically, events with moderate auroral activity are

**Table 1** Summary of the results obtained for the shock speed, shock impact angle, and  $\Delta AP$  correlation analyses

Fixed impact angle $\theta_{x_n}$ , changed shock speed $v_s$			
Category highly inclined moderately inclined almost frontal			
R	0.45	0.55	0.70
AP	25.98	41.37	64.09
Fixed shock speed $v_s$ , changed impact angle $\theta_{x_n}$			
Category	Weak	Moderate	Strong
R	0.39	0.48	0.79
AP	26.55	46.56	62.88



**Fig. 4** Auroral power amplitude as a function of shock impact angle binned in three different shock speed categories: **a**  $300 \leq v_s \leq 450$  km/s; **b**  $450 < v_s \leq 550$  km/s; and **c**  $v_s > 550$  km/s

triggered by moderate, strong, and weak shocks. There are only a few events with high auroral activity, and all of them are triggered by moderate almost frontal shocks. Finally, correlations for strong shock are represented by Fig. 4c. Generally, strong shocks do not cause events with low auroral activity, with an exception of only one event caused by a highly inclined shock. Events with moderate AP activity are

typically caused by inclined shocks, but they can also be triggered by highly inclined or almost frontal shocks. Events with intense auroral activity 235 are caused mostly by almost frontal shocks, but a few events are caused by inclined shocks. The correlation coefficient and AP average for strong shocks are the highest in this category,  $R=0.79$  and  $AP=62.88$  GW. Table 1 summarizes the results obtained for correlations with shocks in all categories.

The analysis of the three panels in Fig. 4 leads to a similar conclusion obtained in the analysis of Fig. 3: strong, high speed shocks are generally much more geoeffective than weak slow speed shocks, and their geoeffectiveness increases if the IP shock impacts more frontally on the Earth's magnetosphere. These general results were predicted previously in global MHD simulations [32] and confirmed experimentally with spacecraft and geomagnetic data observations [33].

## 4 Summary and Conclusions

We have studied 461 fast forward interplanetary (IP) shocks using Wind and ACE satellite data from January 1995 to December 2013. We correlated IP shock impact angles with geomagnetic activity (auroral power intensity) triggered by IP shock impacts. The primary result obtained here was that high speed shocks with shock normal aligned along the Sun-Earth line (head-on shocks) cause the greatest auroral power release. The correlation coefficient for the cross correlation analysis in this case was 0.79, the highest of any performed in this study. This result confirms previous numerical simulation results [32], in which frontal shocks led to stronger geomagnetic activity in comparison to the cases of inclined IP shocks. Observational results were reported in a subsequent work [33], whose authors performed a statistical analysis correlating substorm strength and IP shock impact angles. In the case of fast (strong) shocks, events with the strongest geomagnetic activity occurred in the cases in which the shocks impacted the magnetopause almost frontally.

To explain the above results, it should be first noted that shock compression of the magnetosphere is most effective when the inclination angle is frontal. Both the magnetosphere and magnetotail will be compressed the most for this orientation. Greater tail lobe fields will require stronger cross tail currents to maintain them. Magnetosphere/magnetotail compression will lead to more flattened tail closed field lines. Shock-triggering substorm mechanisms were previously discussed by several other authors [3, 48, 51, 52]. Both current disruption [22, 23, 34] and magnetic reconnection [19, 23–25] are viable under these above conditions.

The present results indicate the role of shock speed and inclination angle in geoeffectiveness of magnetospheric energy release (auroral power). Thus, this is another factor besides

magnetospheric priming that must be taken into account in assessing auroral power release.

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